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IBM Docket No. FR9-98-048

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In the United States Patent and Trademark Office Patent Application Transmittal

Transmitted herewith for filing is the Patent Application of:

Inventors(s): Claude Basso, Philippe Damon, Guy Menanteau

For: System for Triggering the Control Plane in an Asynchronous Connection-Oriented
Transmission Network

Enclosed are

11 pages of specification, including 8 claims, plus 3 sheets of drawings.
 An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.
 A certified copy of a/an European application.
 Declaration and Power of Attorney.
PTO-1449 & references
 A return post card
Other:

Filing Fee Calculation (For Other Than Small Entity)

Basic Fee:					\$760.00
Claims Fees:	Filed	Limit	Extra	Rate per Extra	
Total claims:	8	20	0	\$18.00	\$0.00
Independent claims:	1	3	0	\$78.00	\$0.00
1	Multiple Dependent Claim Presented			\$260.00	\$260.00
					Total \$1,020.00

Please charge Deposit Account 09-0464 for the Total set forth above. The Commissioner is authorized to charge payment of any additional filing fees required under 37 CFR §1.116 and any patent application processing fees under 37 CFR §1.117 or to credit any overpayment to the identified account. A duplicate copy of this sheet is enclosed.

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FR998048

APPLICATION FOR UNITED STATES PATENT

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT WE, **Claude Basso, Philippe Damon and Guy Menanteau** citizens of France, invented new and useful improvements in

System for Triggering the Control Plane in an Asynchronous Connection-Oriented Transmission Network

of which the following is a SPECIFICATION:

SYSTEM FOR TRIGGERING THE CONTROL PLANE IN AN ASYNCHRONOUS CONNECTION-ORIENTED TRANSMISSION NETWORK

Technical field

1 The present invention relates to systems enabling testing for the availability of connections in an asynchronous connection-oriented transmission network such as an Asynchronous Transfer Mode (ATM) network or a Frame Relay network and particularly to a method for triggering the control plane in such a network.

Background

6 Different techniques have been developed for transporting information over a network, such as packet switching techniques whereby digitized data is arranged into so-called bit packets, and circuit switching techniques. In packet switching, the bit packets may either be of fixed length like in the Asynchronous Transfer Mode (ATM) where the packets, also called cells, are all of a conventional fixed length, or be of variable length.

11 ATM has been recognized as the common base on which different types of services and networks can operate. The ATM technology can efficiently combine the transmission of speech, video, audio (what is commonly called the multimedia traffic) and computer data into the wired network. Furthermore, ATM has proven to scale well from very high speed network infrastructure (the information highways) to customer premises networks. One of the great 16 advantages of the ATM technology is the fact that it can guarantee some level of service when an ATM connection is set up. Such guarantees can correspond to transmission rate, transmission latency and information loss. They can be achieved mainly because the ATM architecture assumes that the transmission media are almost error free.

21 At the beginning of the ATM technology, there were only Permanent Virtual Connections (PVC). Switched Virtual Connection (SVC) were soon developed. SVCs supported the growth of ATM by providing bandwidth on demand, in real time, to any user destination, with custom-tailored

1 performance to meet the needs of almost any application. From the beginning, SVCs have been
integrated to ATM specifications and most ATM customer equipment supports SVCs.

6 To establish a SVC connection, a routing procedure takes place during which the control point of
the source node determines the best route to the destination node. Afterwards, the source control
point sends a call setup message, a copy of which is delivered to the control point of every
switching node on the route. The call setup message includes all the critical information needed
to define and support a connection, and is based upon information contained in the request
initiated by an end user or an application. When routing the connection, the network ensures that
the selected path has sufficient resources to support the traffic descriptor, bearer capability and
11 Quality of Service (QoS) parameters specified in the call setup message. This is done by the
Connection Admission Control (CAC). Then, when the call setup message is received at the
destination node, a confirmation message is sent back to the source node which can initiate the
exchange of information between the source node and the destination node.

16 All these procedures for establishing a connection are controlled by the control plane managed by
a control point in each node of the network.

21 ATM networks are getting more and more complex and are being used to handle critical data.
Therefore, the control plane is more and more complex and becomes a critical element of such
networks. Unfortunately, there is currently no tool to test and verify that the control plane of a
network (formed of the control planes of network nodes used in the connection) works properly
in a real environment (e.g. a production network).

26 A solution known as Internet Protocol (IP) "Ping", was been originally designed to check the
availability of a path in the IP world and whether a destination device could be reached by
sending out an echo ICMP (Internet Control Message Protocol) to the specified destination
device and just waiting for an acknowledgment sent back by the destination device. This
procedure is mainly used for networks of routers. Even if a "Ping" works, this cannot ensure that

1 a data stream will actually flow because of the connectionless nature of IP. There is no control
plane insofar as the path is determined at the time when the data is sent in the network.
Furthermore, there are no Quality of Service parameters.

6 One advantage of the ATM is its ability to integrate the IP protocol. For that, the first step is to
define Higher Layer Protocols (HLP) to emulate the LAN protocols above ATM. Thus, LAN
emulation and classical IP are widely used. The advantage is that the applications developed on
top of an IP stack are still working transparently. Of course, the "Ping" function is still
implemented when IP is used on top of these HLPs since, due to the connection-oriented nature
of ATM, the connection must be established prior to the data transmission.

11 The problem with the HLPs is that they require an extra process to actually establish a connection
between two users. In fact, an additional server is necessary to translate the addresses of HLPs
(e.g. IP addresses) into ATM and vice-versa. That is why each user must first register to the
server before doing anything else and in particular trying to do a "Ping". This is not very
satisfying for testing the connectivity because the "Ping" procedure may not work for reasons
16 which are unrelated to the control plane such as when the server has failed. Therefore, the HLPs
do not integrate the full QoS capabilities of ATM.

21 Another solution for checking if an ATM connection is working properly is to use Operation
Administration Management (OAM) cells. OAM cells were designed to test an ATM network
through the user plane. Unfortunately, OAM cells do not trigger the control plane and in
particular the Connection Admission Control (CAC). Besides, a connection must be established
prior to the use of OAM cells. In fact, OAM cells simply check the physical path but do not test
the establishment of a connection characterized by specific traffic parameters.

Summary of the invention

26 Accordingly, the main object of the invention is to provide a method enabling to test at any time,
the connectivity from a source node to a destination node in an asynchronous

1 connection-oriented network such as ATM network.

Another object of the invention is to provide a method for testing the availability of a network connection characterized by its traffic parameters.

6 Another object of the invention is to provide a method for triggering the control plane in an asynchronous connection-oriented network in order to test any connection between two nodes by using traffic parameters requested by the user.

11 The invention relates to an asynchronous connection-oriented transmission network comprising a plurality of switching nodes interconnected by connection lines, each switching node being associated with a control point which is in charge of when a connection has to be established therebetween by identifying which of the connection lines are eligible based upon the requirement of a quality of service. Each switching node comprises a Control ATM Test Application (CATMTA) and a Deamon ATM Test Application (DATMTA) so that, at any time, a user interfacing a source node can test the connectivity of a network connection from the source node to a destination node by initiating a connection procedure wherein a call setup message is sent by the CATMTA of the source node to the destination node and the DATMTA of the destination node sends back an acknowledgment message to the source node.

Brief description of the drawings

16 The objects, characteristics and advantages of the invention will become clear from the following description given in reference to the accompanying drawings wherein :

21 Fig. 1 represents a block-diagram of an ATM network wherein a Control ATM Test Application (CATMTA) of a source node initiates a connection by sending a call setup message to a Deamon ATM Test application (DATMTA) of a destination node.

1 Fig. 2 represents the same block-diagram of an ATM network wherein the DATMTA of the destination node sends back an acknowledge message to the CATMTA of the source node.
Fig. 3 represents the same block-diagram of an ATM network showing the data stream exchanges between the CATMTA of the source node and the DATMTA of the destination node for verifying the characteristics of the connection.

6 **Detailed description of the invention**

As shown schematically in Figures 1, 2 and 3, an ATM network 10 includes a plurality of switching nodes 12, 14, 16 and 18. In the present illustration, switching node 12 will be the source node and switching node 18 will be the destination node.

11 Each node of the network includes a control point which are illustrated only for source node 12 and destination node 18. The control point 20 of source node 12 comprises a Control ATM Test Application (CATMTA) 22, a control plane 24, an adaptation ATM layer (AAL) 26 and an ATM layer 28. CATMTA 22 is connected to control plane 24 but is also connected to AAL 26 and to ATM layer 28.

16 In the same way, the control point 30 of destination node 18 comprises a Deamon ATM Test Application (DATMTA) 32, a control plane 34, an adaptation ATM layer (AAL) 36 and an ATM layer 38. DATMTA 32 is connected to control plane 34 but is also connected to AAL 36 and ATM layer 38.

21 Although only a CATMTA has been represented for source node 12 and only a DATMTA has been represented for destination node 18, each of these two nodes, and in a general way, each node of the network includes both CATMTA and DATMTA. Indeed, each node could be used as a source node or a destination node in the procedure implementing the invention. Note that both CATMTA and DATMTA of the same node can be used at the same time.

It must also be noted, that the control point associated with the source node or with the

1 destination node could be outside the node, such as an independent station or any Data Terminal Equipment (DTE).

The procedure described hereafter in reference to the Figures illustrates how are used the CATMTA and DATMTA are used according to the invention.

6 First, CATMTA 22 associated with source node 22 receives a request from an end user or from a higher level application (not shown) to establish a connection with several parameters. There are three sets of parameters: general parameters such as the number of connection establishment retries in the case of failures or the lifetime of the connection; call setup parameters which will determine the characteristics of the connection. Among them, the most important are the
11 destination ATM address (which is the address of the destination node), the bandwidth parameters, the Quality of Service (QoS) parameters, the type of the connection; i.e., point to point or point to multipoint, virtual circuit or virtual path; and the data stream parameters such as the data stream format, its size, its frequency and any kind of measures to perform.

16 CATMTA 22 requests the establishment of the connection with destination node 18 by triggering (signaling and routing) control plane 24. A call setup message is sent from source node 12 to destination node 18 through the switching nodes of the network 10 such as switching node 14 as illustrated in Figure 1. As usual the control plane of each switching node of the connection is triggered by the call setup message. Note that, if the network fails to deliver the call setup
21 message to the destination node, CATMTA 22 of source node 12 is aware of this failure and it notifies to the requesting end user or application.

When the call setup message is successfully delivered to destination node 18, it is actually received by DATMTA 32. DATMTA accepts the incoming message and sends back an acknowledge message (connect message) toward source node 12 as illustrated in Figure 2.

1 The acknowledge message being received by CATMTA 22, the connection is effectively established between source node 12 and destination node 18. The work of the control plane is completed. CATMTA notifies the requesting end user or application that the connection has been successfully established.

6 According to another aspect of the invention, the new means which are CATMTA and DATMTA can be used for verifying the characteristics of the connection which has just been established between source node 12 and destination node 18 by exchanging data streams as illustrated in Figure 3. It must be noted that such a verification would not be possible if a classical connection in the ATM network was normally established without using CATMTA and

11 DATMTA.

CATMTA 22 of source node 12 sends a data stream over the connection. If the connection is actually established, the data stream is received by DATMTA 32 of destination node 18. DATMTA 32 will decode this data stream and it will respond back with another data stream. CATMTA 22 receives the response. Everything works so far. CATMTA 22 notifies the end user or the application. In addition, CATMTA 22 may perform several retries, statistics, etc...

If the connection is not actually established, either the data stream sent by CATMTA 22 will not be received by DATMTA 32, or the response sent back by DATMTA 32 will not be received by CATMTA 22. In both cases, CATMTA 22 will never get any response, and it notifies the end user or the application of this failure.

21 This data stream can be used to check the actual connection's characteristics which has been established by the control plane. As there are a lot of possible combinations of these characteristics, it is impossible to make an exhaustive list of all tests.

For each individual test, a specific initial data stream is sent by the CATMTA. The DATMTA recognizes the nature data stream and can act differently.

1 Here is a simple example to check the end to end transit delay of the connection. The end to end transit delay is the maximum acceptable time for an ATM cell to flow from the source node to the destination node. This value is specified in the call setup message at the creation time of the connection. If the control plane works properly, the actual value of the end to end transit delay must be lower than the one given in the call setup message.

6 With the following data stream format, it is possible to measure the actual end to end transit delay of the connection :

The data stream sent by the CATMTA includes the time T1 at which the data stream is sent. The data stream is received by the DATMTA at time T2. The DATMTA sends back the answer at time T3. The overhead of the DATMTA is T3 - T2. This value is put in the response data stream.

11 The response data stream is received by the CATMTA at time T4. So, the end to end transit delay is given by the formula : $T = (T4 - (T3 - T2) - T1) / 2$. This value can be compared with the one requested by the user or the application.

To check whether the bandwidth BW requested by the user or the above application has been actually allocated for a constant bit rate connection, a specific data stream has to be sent at the rate BW by the CATAMTA during an amount of time T. The DATMTA which receives the data stream recognizes the header and starts to count the received data (the bytes for example) and the time during which the data are received. When it doesn't receive any more data, it sends back a response data stream in which it puts the amount of received data and the measured time. The CATMTA can then compare the values and it may detect that some data have been lost (cell discarded in the network) for example.

While the above description has been made by considering an ATM network, it is clear that the invention could be implemented in any other asynchronous transmission network of the ATM network type such as a Frame Relay network wherein the packets has a variable length.

1 5. Method for triggering the control plane in an asynchronous connection-oriented transmission
2 network according to any one of claims 1 to 4, comprising the following steps initiated at any
3 time on request by a user interfacing a source node (12): sending from the Control ATM Test
4 Application (CATMTA) means (22) of said source node a call setup message (Fig. 1) for testing
5 the connectivity of a network connection to the Deamon ATM Test Application (DATMTA)
6 means (32) of a destination node (18), and
7 sending back an acknowledgment message from said DATMTA means of said destination node
8 to said CATMTA means of said source node when the connection has been successfully
9 established between said source node and said destination node.

1 6. Method according to claim 5, further comprising the steps of: sending a verification data
2 stream from said CATMTA means in said source node (12) to said destination node (18) after
3 receiving said acknowledgment message (Fig. 2), and sending back a response data stream from
4 said DATMTA means in said destination node to said source node, whereby said verification and
5 response data streams are used to check the characteristics of the connection previously
6 established between said source node and said destination node.

1 7. Method according to claim 6, wherein said verification and response data streams are used to
2 check the end-to-end transit delay of the connection previously established between said source
3 node and said destination node.

1 8. Method according to claim 6, wherein said verification and response data streams are used to
2 check whether the bandwidth requested by the user interfacing said source node has been actually
3 allocated for a constant bit rate over the connection previously established between said source
4 node and said destination node.

Claims

1 1. Asynchronous connection-oriented transmission network (10) of the ATM network type
2 comprising a plurality of switching nodes (12, 14, 16, 18) interconnected by connection lines,
3 each of said switching nodes being associated with a control point being in charge of determining
4 the best route between any source node (12) and any destination node (18) when a connection has
5 to be established therebetween by identifying which of the connection lines are eligible based
6 upon the requirement of a quality of service ;
7 said network being characterized in that each one of said plurality of switching nodes comprises
8 Control ATM Test Application (CATMTA) means (22) and Deamon ATM Test Application
9 (DATMTA) means (32) so that, at any time, a user interfacing a source node can test the
10 connectivity of a network connection from said source node to a destination node by initiating a
11 connection procedure wherein a call setup message is sent by the CATMTA means of said
12 source node to said destination node and the DATMTA means of said destination node send back
13 an acknowledgment message to said source node.

1 2. Asynchronous connection-oriented transmission network (10) according to claim 1, wherein
2 said Control ATM Test Application (CATMTA) means (22) comprise means for sending a
3 verification data stream to said destination node after receiving said acknowledgment message
4 and said Deamon ATM Test Application (DATMTA) means (32) comprise means for sending
5 back a response data stream after receiving said verification data stream, said verification and
6 response data streams being used to check the characteristics of the connection previously
7 established between said source node and said destination node.

1 3. Asynchronous connection-oriented transmission network (10) according to claim 1 or 2, being
2 an Asynchronous Transfer Mode (ATM) network.

1 4. Asynchronous connection-oriented transmission network (10) according to claim 1 or 2,
2 being a Frame Relay network.

SYSTEM FOR TRIGGERING THE CONTROL PLANE IN AN ASYNCHRONOUS CONNECTION-ORIENTED TRANSMISSION NETWORK

Abstract

1 Asynchronous connection-oriented transmission network of the (ATM) network type comprising
a plurality of switching nodes (12, 14, 16, 18) interconnected by connection lines, each of these
switching nodes being associated with a control point in charge of determining the best route
between any source node (12) and any destination node (18) when a connection has to be
established therebetween by identifying which ones of the connection lines are eligible based
6 upon the requirement of a quality of service. Such an ATM network is characterized in that each
switching node comprises Control ATM Test Application (CATMTA)(22) and a Deamon ATM
Test Application (DATMTA)(32) so that, at any time, a user interfacing a source node can test
the connectivity of a network connection from the source node to a destination node by initiating
a connection procedure wherein a call setup message is sent by the CATMTA of the source node
11 to the destination node and the DATMTA of the destination node sends back an acknowledgment
message to the source node.

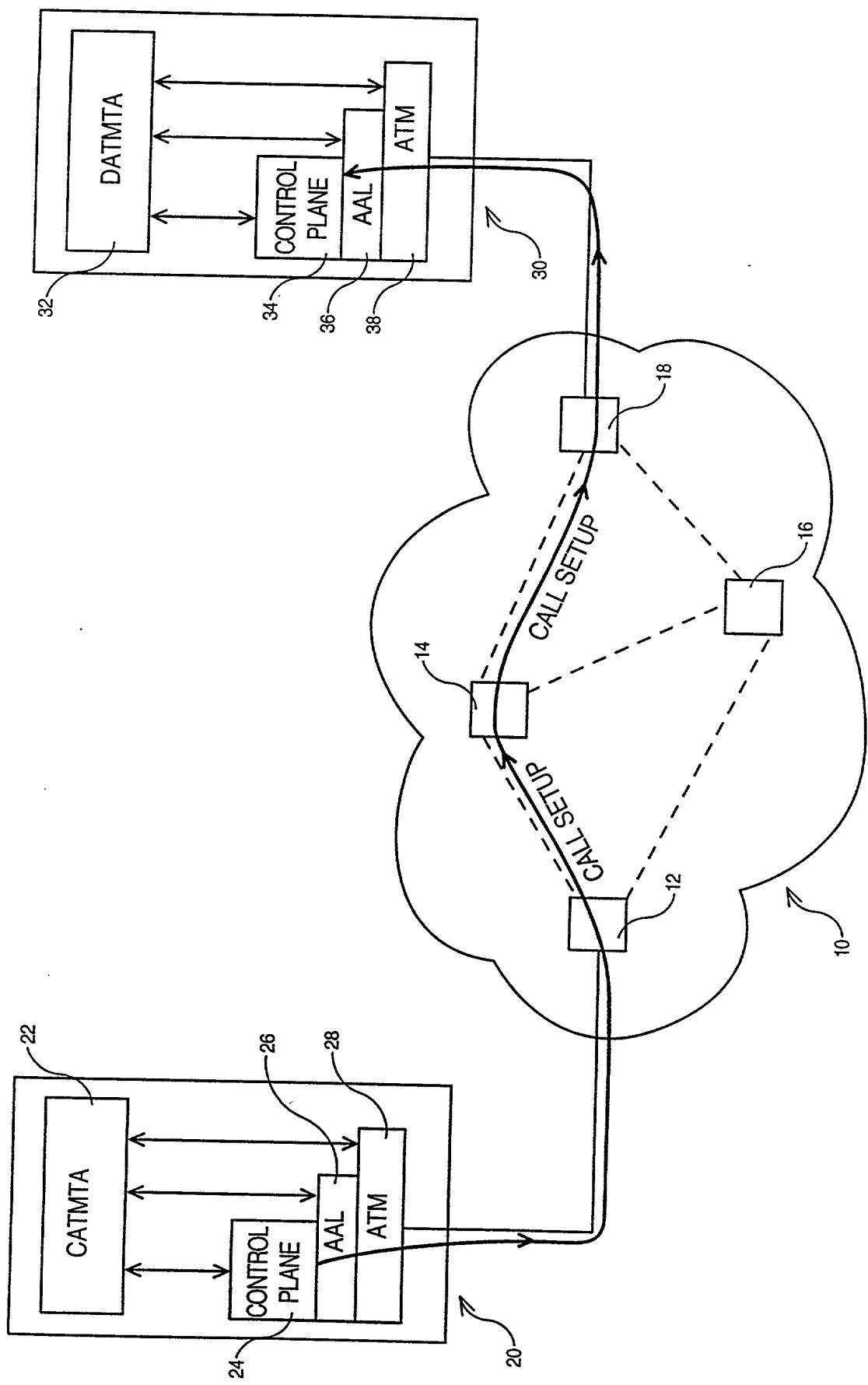


FIG. 1

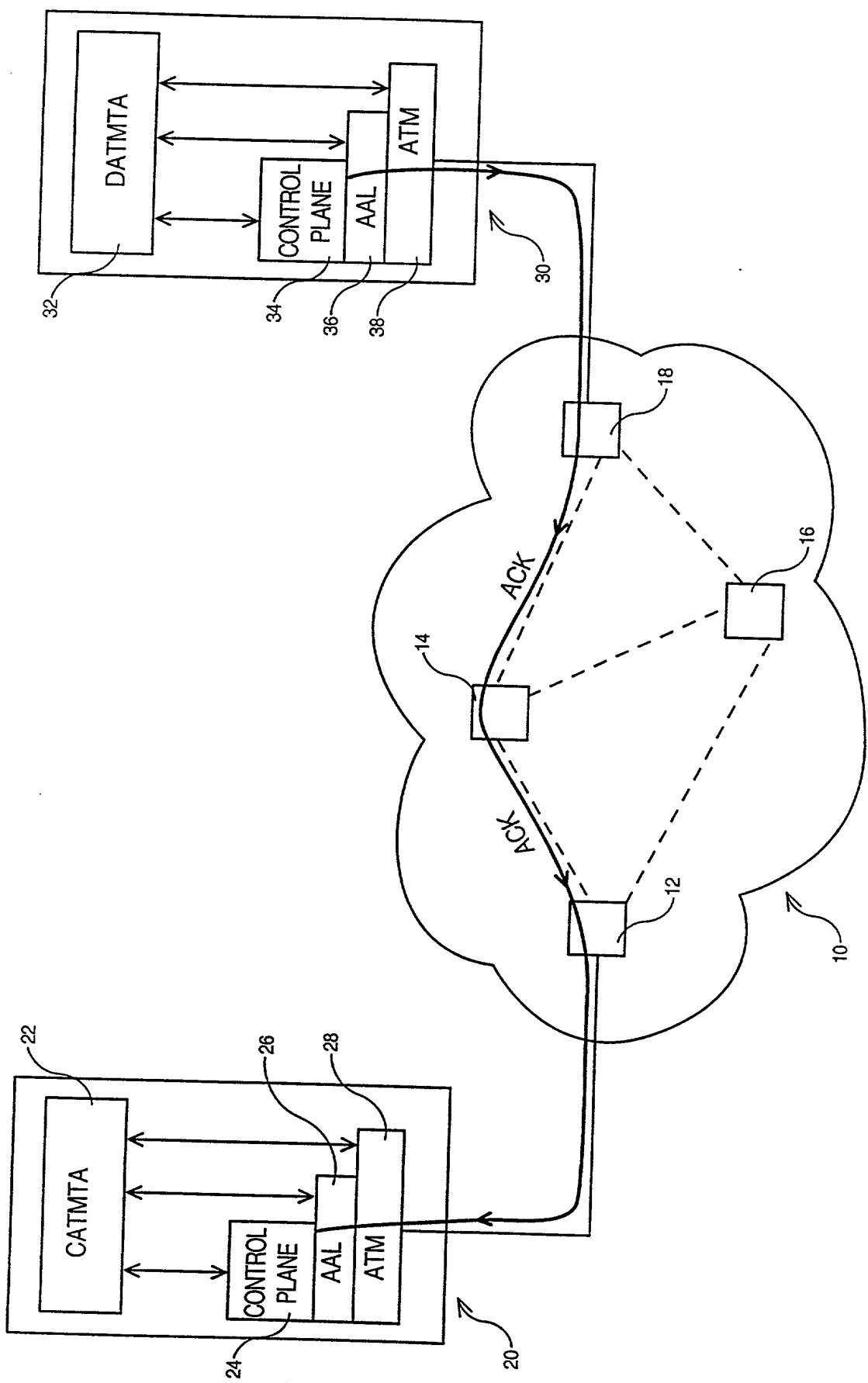
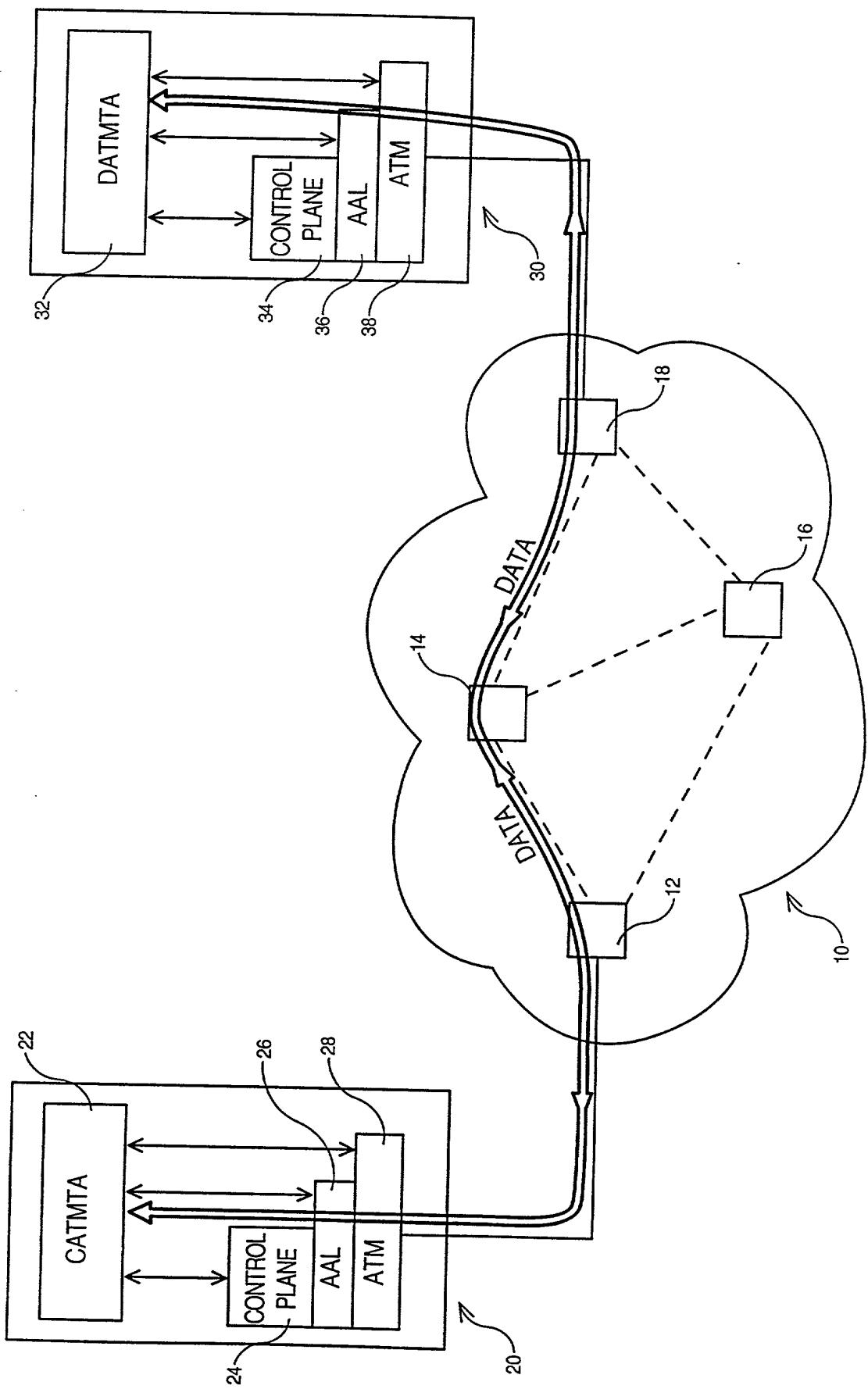


FIG. 2



IBM Docket No. FR9-98-048

**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**System for Triggering the Control Plane in an Asynchronous Connection-Oriented
Transmission Network**

the specification of which is identified by the attorney (IBM) Docket Number appearing above.

I hereby state that I have reviewed and understand the contents of the above- identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

<u>Number</u>	<u>Country</u>	<u>Day/Month/Year</u>	<u>Priority Claimed</u>
98480063.1	Europe	16/09/98	Yes

I hereby claim the benefit (a) under Title 35, United States Code, §119(e) of any U.S. application listed below and identified as a provisional application or (b) under Title 35, United States Code, §120 of any U.S. application listed below and not identified as a provisional application, and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior U.S. application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Prior U.S. Applications

<u>Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

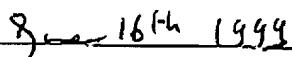
IBM Docket No. FR9-98-048

As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Daniel E. McConnell, Reg. No. 20,360; Kenneth A. Seaman, Reg. No. 28,113; Joscelyn G. Cockburn, Reg. No. 27,069; Gerald R. Woods, Reg. No. 24,144; John D. Flynn, Reg. No. 35,137; Horace St. Julian, Reg. No. 30,329; Joseph C. Redmond, Jr., Reg. No. 18,753; John E. Hoel, Reg. No. 26,279; Christopher A. Hughes, Reg. No. 26,914; and Edward A. Pennington, Reg. No. 32,588.

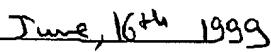
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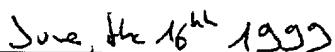
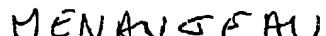
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